Professional intermediaries

**Traders in financial instruments (Investment firms)**
- Sub-broker
- Broker
- Dealer
- Manager of Financial instruments' portfolio
- Investment consultant
- Underwriter/and or guaranteed placement agent
- Non-guaranteed placement agent

**Trading venues operators**

**Stock Market**
- operator of securities regulated market
- operator of securities multilateral trading facility
- operator of bonds organized trading facility

**Derivative instruments market**
- operator of derivative contracts regulated market
- operator of derivative contracts multilateral trading facility
- operator of derivative contracts organized trading facility

**Money Market**
- operator of money instruments regulated market

**Clearing houses**
- Clearing house
- Central counterparty

**Depository institutions**

These are regulated under separate law

**Institutional investors' assets managers**
- Asset manager
- Mortgage assets manager

**Property managers in construction financing industry**

These are regulated under separate law

**Private pension funds administrators**

These are regulated under separate law

**Other technical intermediaries**
- Providers of information services
- Related agents
- Trade repository