



Baker McKenzie Wealth Management Workshop

Exchange of Information and Mandatory Disclosure Rules: Compliance & Disputes

This **in-depth four-hour workshop** is designed to help legal, tax, compliance and management personnel stay up-to-date with evolving CRS, AEOI and DAC6/MDR requirements, as well as provide them with tools for dealing with related data disclosure disputes. The content of this workshop will assume a basic understanding of these issues and is designed for financial institution and wealth management professionals looking to address their institutions' current and future compliance and training obligations.

Venue: Baker McKenzie Geneva
5, rue Pedro-Meylan
1208 Geneva
Switzerland

Date: 5 April 2019 (Friday)

Time: 12:15 – 17:00
(including light lunch & coffee breaks)

Session leaders:

Stephanie Jarrett, Elliott Murray, Jacopo Crivellaro,
Serge Pannatier, Viviana Cibelli and Gregory Walsh

Cost and registration:

CHF 300 (including VAT)

Please register by March 27, 2019 online

We hope that you and/or one of your colleagues will be able to join us.

This seminar will be conducted in English.
Space is limited so please reserve early.

Please feel free to dress "smart casual" for the program.

Light buffet will be offered before the program and during registration, and coffee and refreshments will be available during the program's networking breaks.

Contacts:

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This may qualify as "Attorney Advertising" in some jurisdictions.

Agenda

April 5, 2019, Baker McKenzie Geneva, Rue Pedro-Meylan 5, 1208 Geneva

Timings	Session
12:15 - 13:00	Registration, light buffet
13:00 - 13:10	Introduction
13:10 - 14:10	<p>Mandatory Disclosure Rules and EU Directive on Administrative Cooperation: Case Studies and Disputes</p> <p>During this segment, we will discuss the reporting obligations faced by intermediaries and financial institutions, including obligations to clients and potential disclosure of client data and attorney-client privilege.</p> <p>Discussion Leaders: Gregory Walsh, Elliott Murray, Jacopo Crivellaro</p>
14:10 - 15:10	<p>Change in Circumstances, CRS and CBI/RBI Schemes</p> <p>We will review special issues concerning OECD Common Reporting Standard due diligence requirements with regard to change of circumstances, in particular tax residence of account holders. This segment will consider the implications of OECD guidance on citizenship-by-investment (CBI) and residence-by-investment (RBI) schemes and how intermediaries and financial institutions should identify and address these due diligence concerns.</p> <p>Discussion Leaders: Gregory Walsh, Elliott Murray, Jacopo Crivellaro</p>
15:10 - 15:25	Networking break
15:25 - 16:10	<p>Data Disclosure and Protection of Trade Secrets</p> <p>Disclosure of client data is a growing concern in light of automatic exchange of information as well as exchange of information by request, particularly in light of cross-border investigations. We will discuss potential data disclosure issues and client relationship management in the face of these challenges. Are you also aware of the trade secrets in your organization? We will discuss how you can optimize the process to enhance trade secret protection capabilities – by identifying trade secrets, creating and maintaining a trade secrets inventory, implementing protective measures, and creating a misappropriation action plan to prepare for the worst case.</p> <p>Discussion Leaders: Serge Pannatier, Jacopo Crivellaro</p>
16:10 - 16:55	<p>Insurance of Compliance</p> <p>Insurers offer new products for the insurance of compliance, cyber and other emerging risks. The session will focus on the benefits and limits of insurance solutions, as well as their effects on the organization of compliance desks. Common pitfalls in insurance coverage will be addressed.</p> <p>Discussion Leaders: Stephanie Jarrett, Viviana Cibelli</p>
16:55 – 17:00	Concluding Remarks